

### IN THE

## UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Masayuki Chatani

SERIAL NO.:

09/894,182

FILED:

June 28, 2001

TITLE:

RECEIVED

JAN - 2 2007
TC 1700 Apparatus and Method for Utilizing an Incentive Point

System Based on Disc and User Identification

**EXAMINER:** 

Unknown

GROUP ART UNIT:

2162

ATTY.DKT.NO.:

**PA1716US** 

COMMISSIONER FOR PATENTS WASHINGTON, D.C. 20231

> INFORMATION DISCLOSURE STATEMENT Under 37 C.F.R. §§ 1.56, and 1.97-1.98

SIR:

Pursuant to the provisions of 37 C.F.R. §§ 1.56 and 1.97-98 of the Rules of Practice in Patent Cases, enclosed herewith is form PTO-SB-08A listing several references, copies of which are enclosed. The Examiner is requested to make these references of official record in the application. The references cited may be material to examination of the application and are submitted in compliance with Applicant's duty of disclosure as defined by 37 C.F.R. § 1.56.

No representation is made or intended as to the completeness of this list, nor is the inclusion of any reference on this list an admission that it is prior art or pertinent to this application.

Applicant believes no fee is due at this time. The Examiner is, however, authorized to charge Deposit Account 06-0600 for any fees due. A duplicate copy of this statement is included for this purpose.

Respectfully submitted, Masayuki Chatani

Dated: 12/18/2002

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Wendi R. Schepler

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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of

Complete if Known									
Application Number	09/894,182								
Filing Date	June 28, 2001								
First Named Inventor	Chatani								
Group Art Unit	2162	HECFIVE							
Examiner Name	Unknown								
Attorney Docket Number	PA1716US	JAN - 2 2003							

				U.S. PATENT DOC	JMENTS	10 170
Examiner Initials*	Cite No.1	U.S. Patent D	Nocument Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5,523,551	1	Scott	06-04-1996	
		6,070,141	1	Houvener et al.	05-30-2000	
		6,081,785		Oshima et al.	06-27-2000	
		6,117,011		Lvov	09-12-2000	
		6,137,480		Shintani	10-24-2000	
		6,243,796		Otsuka	06-05-2001	
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	No.1	Office <sup>3</sup>	Number⁴	Kind Code <sup>5</sup> (if known)	Applicant of Cited Document	Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear	Te
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<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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# TRANSMITTAL **FORM**

(to be used for all correspondence after initial filing)

09/894,182 **Application Number** JAN ZONO? June 28, 2001 **Filing Date** Chatani **First Named Inventor** Group Art Unit 2162 Unknown **Examiner Name** Attorney Docket Number PA1716US

Total Number of	of Pages in This Subm	ission 7	Attorney Docket Numbe	PA1/16US	<u> </u>				
		ENCL	OSURES (check	all that apply)					
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or Individual name	Carr & Ferrell	, LLP	LLP						
Signature	Wendi K	Schep	Schepter Reg. No. 43,091						
Date	December 18	, 2002	, 2002						

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Wendi R. Schepler Typed or printed name Date December 18, 2002 Signature

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